

# APPLICATION FOR FINANCIAL ASSISTANCE

Revised 7/93

CB909

IMPORTANT: Applicant should consult the "Instructions for Completion of Project Application" for assistance in the proper completion of this form.

SUBDIVISION: Cleves CODE # 061 - 16028

DISTRICT NUMBER: 2 COUNTY: Hamilton DATE 9 / 30 / 94

CONTACT: William R. McCormick PHONE # (513) 721-5500

(THE PROJECT CONTACT PERSON SHOULD BE THE INDIVIDUAL WHO WILL BE AVAILABLE ON A DAY-TO-DAY BASIS DURING THE APPLICATION REVIEW AND SELECTION PROCESS AND WHO CAN BEST ANSWER OR COORDINATE THE RESPONSE TO QUESTIONS)

PROJECT NAME: Carter Street Rehabilitation

## SUBDIVISION TYPE

(Check Only 1)

- ☐ 1. County
- ☐ 2. City
- ☐ 3. Township
- ☒ 4. Village
- ☐ 5. Water/Sanitary District  
(Section 6119 O.R.C.)

## FUNDING TYPE REQUESTED

(Check All Requested & Enter Amount)

- ☒ 1. Grant \$ 117,000
- ☐ 2. Loan \$ \_\_\_\_\_
- ☐ 3. Loan Assistance \$ \_\_\_\_\_
- MBE SET-ASIDE OFFERED
- Construction \$ 130,000.00
- Procurement \$ \_\_\_\_\_

## PROJECT TYPE

(Check Largest Component)

- ☒ 1. Road
- ☐ 2. Bridge/Culvert
- ☐ 3. Water Supply
- ☐ 4. Wastewater
- ☐ 5. Solid Waste
- ☐ 6. Stormwater

TOTAL PROJECT COST: \$ 130,000 FUNDING REQUESTED: \$ 117,000

## DISTRICT RECOMMENDATION

To be completed by the District Committee ONLY

GRANT: \$ 117,000.00

LOAN ASSISTANCE: \$ \_\_\_\_\_

LOAN: \$ \_\_\_\_\_

%     TERM:     yrs. (Attach Loan Supplement)

(Check Only 1)

- ☒ State Capital Improvement Program
- ☐ Local Transportation Improvements Program
- ☐ Small Government Program

## DISTRICT MBE SET-ASIDE:

Construction \$ 130,000.00  
Procurement \$ \_\_\_\_\_

## FOR OPWC USE ONLY

PROJECT NUMBER: C     / C    

Local Participation     %

OPWC Participation     %

Project Release Date:                     

OPWC Approval:                     

APPROVED FUNDING: \$

Loan Interest Rate:                      %

Loan Term:                      years

Maturity Date:                     

Date Approved:

## 1.0 PROJECT FINANCIAL INFORMATION

### 1.1 PROJECT ESTIMATED COSTS:

(Round to Nearest Dollar)

- a.) Project Engineering Costs:
- 1. Preliminary Engineering \$ \_\_\_\_\_ .00
  - 2. Final Design \$ \_\_\_\_\_ .00
  - 3. Other Engineer Services \* \$ \_\_\_\_\_ .00
  - Supervision \$ \_\_\_\_\_ .00
  - Miscellaneous \$ \_\_\_\_\_ .00
- b.) Acquisition Expenses:
- 1. Land \$ \_\_\_\_\_ .00
  - 2. Right-of-Way \$ \_\_\_\_\_ .00
- c.) Construction Costs: \$ 130,000 .00
- d.) Equipment Purchased Directly: \_\_\_\_\_
- e.) Other Direct Expenses: \$ \_\_\_\_\_ .00
- f.) Contingencies: \$ \_\_\_\_\_ .00
- g.) TOTAL ESTIMATED COSTS: \$ 130,000 .00

MBE	Force Account
\$	\$
_____	_____
_____	_____
_____	_____
_____	_____

### 1.2 PROJECT FINANCIAL RESOURCES:

(Round to Nearest Dollar and Percent)

- |                                 |                      | %         |
|---------------------------------|----------------------|-----------|
| a.) Local In-Kind Contributions | \$ _____ .00         | _____     |
| b.) Local Public Revenues       | \$ <u>13,000</u> .00 | <u>10</u> |
| c.) Local Private Revenues      | \$ _____ .00         | _____     |
| d.) Other Public Revenues       |                      |           |
| 1. ODOT PID# _____              | \$ _____ .00         | _____     |
| 2. EPA/OWDA                     | \$ _____ .00         | _____     |
| 3. OTHER                        | \$ _____ .00         | _____     |

SUB TOTAL LOCAL RESOURCES: \$ 13,000 .00 10

- e.) OPWC Funds
- 1. Grant \$ 117,000 .00 90
  - 2. Loan \$ \_\_\_\_\_ .00 \_\_\_\_\_
  - 3. Loan Assistance \$ \_\_\_\_\_ .00 \_\_\_\_\_

SUB TOTAL OPWC RESOURCES: \$ 117,000 .00 90

f.) TOTAL FINANCIAL RESOURCES: \$ 130,000 .00 100%

\*Other Engineer's Services must be outlined in detail on the required certified engineer's estimate.

### 1.3 AVAILABILITY OF LOCAL FUNDS:

Attach a summary from the Chief Financial Officer listed in section 5.2 listing all local share funds budgeted for the project and the date they are anticipated to be available.

## 2.0 PROJECT INFORMATION

**IMPORTANT:** If project is multi-jurisdictional, information must be consolidated in this section.

2.1 PROJECT NAME: Carter Street Rehabilitation

2.2 BRIEF PROJECT DESCRIPTION – (Sections a through d):

a.) SPECIFIC LOCATION:

Project is located in the Village of Cleves. The project limits are from River Road to Symmes Street. Please see the attached location map.

PROJECT ZIP CODE: 45002

b.) PROJECT COMPONENTS:

- 1.) Remove existing pavement to subgrade.
- 2.) Undercut unsuitable materials.
- 3.) Remove and replace existing drainage structures.
- 4.) Install new curbs.
- 5.) Construct new asphaltic concrete pavement.

c.) PHYSICAL DIMENSIONS / CHARACTERISTICS:

The current facility needs to be rehabilitated to accomodate a smooth driving surface. The width of the roadway is 20' . The length of the proposed project is 500 LF. The existing pavement is distressed and has numerous base failures. Storm drains are severely deteriorated.

d.) DESIGN SERVICE CAPACITY:

**IMPORTANT:** Detail shall be included regarding current service capacity vs proposed service level. If road or bridge project, include ADT. If water or wastewater project, include both current residential rates based on monthly usage of 7,756 gallon per household.  
Attach current rate ordinance.

The current ADT is 150. The facility will not be expanded as a result of this project.

2.3 USEFUL LIFE / COST ESTIMATE: Project Useful Life: 20 Years.

Attach Registered Professional Engineer's statement, with original seal and signature certifying the project's useful life indicated above and estimated cost.

### 3.0 REPAIR/REPLACEMENT or NEW/EXPANSION:

TOTAL PORTION OF PROJECT REPAIR/REPLACEMENT	\$	130,000.00	100 %
State Funds Requested for Repair and Replacement	\$	117,000.00	90 %

TOTAL PORTION OF PROJECT NEW/EXPANSION	\$		0 %
State Funds Requested for New and Expansion	\$		0 %

(SCIP Project Grant Funding for New and Expansion cannot exceed 50% of the Total Project Costs.)

### 4.0 PROJECT SCHEDULE:\*

	BEGIN DATE	END DATE
4.1 Engineering/Design:	8 / 2 / 94	4 / 30 / 95
4.2 Bid Advertisement:	11 / 15 / 95	12 / 15 / 95
4.3 Construction:	3 / 15 / 96	12 / 31 / 96

\* Failure to meet project schedule may result in termination of agreement for approved projects. Modification of dates must be approved in writing by the Commission once the Project Agreement has been executed. Dates should assume project agreement approval/release on July 1st. of the Program Year applied for.

### 5.0 APPLICANT INFORMATION:

#### 5.1 CHIEF EXECUTIVE

OFFICER

Harold Duncan

TITLE

Mayor

STREET

101 N. Miami Ave.

CITY/ZIP

Cleves, Ohio 45002

PHONE

(513) 941 - 5127

FAX

(513) 941 - 5299

#### 5.2 CHIEF FINANCIAL

OFFICER

Marta Insprucker

TITLE

Village Clerk

STREET

101 N. Miami Ave.

CITY/ZIP

Cleves, Ohio 45002

PHONE

(513) 941 - 5127

FAX

(513) 941 - 5299

#### 5.3 PROJECT MANAGER

TITLE

William R. McCormick

STREET

Village Engineer

CITY/ZIP

2021 Auburn Avenue

PHONE

Cincinnati, Ohio 45219

FAX

(513) 721 - 5500

(513) 721 - 0607

## 6.0 ATTACHMENTS/COMPLETENESS REVIEW:

Check each section below, confirming that all required information is included in this application.

X A certified copy of the legislation by the governing body of the applicant authorizing a designated official to submit this application and execute contracts. (Attach)

X A summary from the applicant's Chief Financial Officer listing all local share funds budgeted for the project and the date they are anticipated to be available. (Attach)

X A registered professional engineer's estimate of projects useful life and cost estimate, as required in 164-1-14 and 164-1-16 of the Ohio Administrative Code. Estimates shall contain engineer's original seal and signature. (Attach)

       A copy of the cooperation agreement(s) if this project involves more than one subdivision or district. (Attach)

X Capital Improvements Report: (Required by 164 O.R.C. on standard form)

X A: Attached.

       B: Report/Update Filed with the Commission within the last twelve months.

       Floodplain Management Permit: Required if project is in 100 year floodplain. See Instructions.

X Supporting Documentation: Materials such as additional project description, photographs, economic impact (temporary and/or full time jobs likely to be created as a result of the project), and other information to assist your district committee in ranking your project.

## 7.0 APPLICANT CERTIFICATION:

The undersigned certifies that: (1) he/she is legally authorized to request and accept financial assistance from the Ohio Public Works Commission; (2) that to the best of his/her knowledge and belief, all representations that are part of this application are true and correct; (3) that all official documents and commitments of the applicant that are part of this application have been duly authorized by the governing body of the applicant; and, (4) should the requested financial assistance be provided, that in the execution of this project, the applicant will comply with all assurances required by Ohio Law, including those involving minority business utilization, Buy Ohio, and prevailing wages.

IMPORTANT: Applicant certifies that physical construction on the project as defined in the application has NOT begun, and will not begin until a Project Agreement on this project has been executed with the Ohio Public Works Commission. Action to the contrary will result in termination of the agreement and withdrawal of Ohio Public Works Commission funding of the project.

Harold Duncan, Mayor, Village of Cleves

Certifying Representative (Type or Print Name and Title)

Harold Duncan Sept 23 1994  
Signature/Date Signed

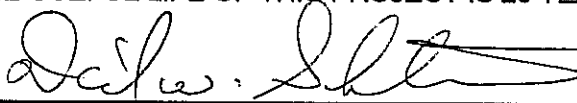
PROJECT : CARTER STREET REHABILITATION  
ENG. EST.: \$130,000.00

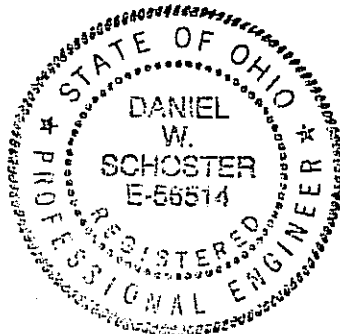
				ENGINEER'S ESTIMATE	
REF	ITEM	DESCRIPTION	UNIT	QUANT	TOTAL
NO	NO.				
1	201	CLEARING AND GRUBBING	LS	1	2500.00
2	202	PAVEMENT REMOVED	SY	2500	7500.00
3	251	FULL DEPTH PAVEMENT REPAIR	SY	500	15000.00
4	251	PARTIAL DEPTH PAVEMENT REPAIR	SY	200	5000.00
5	402	ASPHALT CONCRETE, AC-20	CY	200	13000.00
6	404	ASPHALT CONCRETE, AC-20	CY	200	13000.00
7	603	CONCRETE CONDUIT, TYPE B, 706.02	LF	150	5250.00
8	604	CATCH BASIN, TYPE 3	EA	5	7500.00
9	608	CURB RAMPS	EA	2	1000.00
10	609	REMOVE AND REPLACE CURB	LF	1000	15000.00
11	614	MAINTAINING TRAFFIC	LS	1	15000.00
12	659	SEEDING AND MULCHING	SY	500	1500.00
13	SPL	UTILITY RELOCATION	LS	1	25000.00
14	SPL	PAVEMENT MARKINGS	LS	1	3750.00

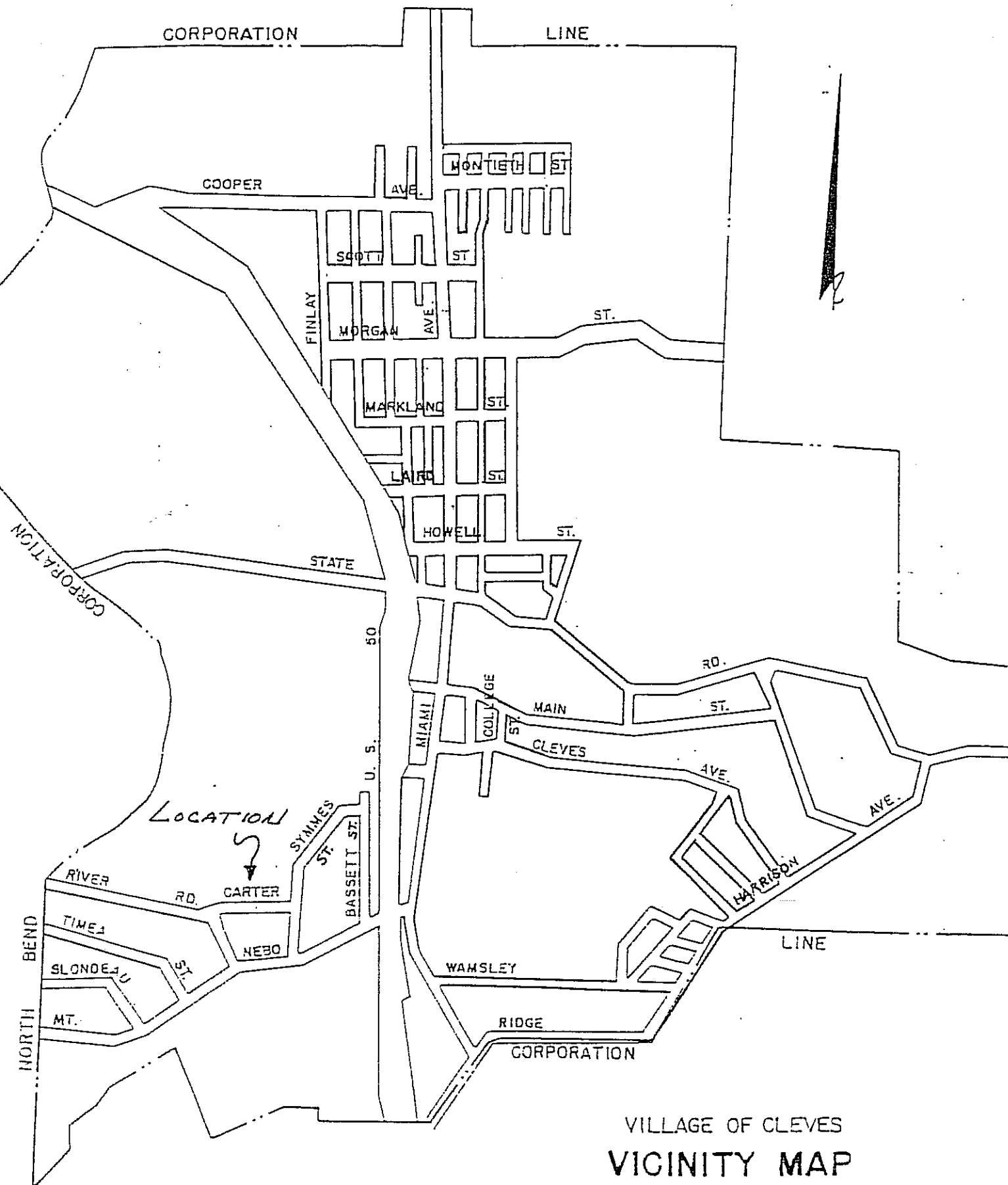
TOTAL ESTIMATED COST

\$130,000.00

I HEREBY CERTIFY THIS TO BE AN ACCURATE ESTIMATE OF THE PROPOSED PROJECT.  
THE USEFUL LIFE OF THIS PROJECT IS 20 YEARS.

  
\_\_\_\_\_  
Daniel W. Schoster, P.E.





VILLAGE OF CLEVES  
VICINITY MAP



# Village of Cleves, Ohio

MAYOR, HAROLD DUNCAN  
(513) 941-5127

CLERK/TREASURER  
MARTA INSPRUCKER  
(513) 941-5127

101 NORTH MIAMI AVENUE  
CLEVES, OHIO 45002


INCORPORATED 1875

CHIEF OF POLICE  
E. RUSSELL MESSER  
(513) 941-1212

STREET COMMISSIONER  
JOHN BOOTH  
(513) 941-3818

## STATUS OF FUNDS REPORT

The Village of Cleves will participate in the amount of \$13,000 from its General Fund for the Carter Street Rehabilitation Project.

  
Marta Insprucker, Clerk  
Village of Cleves

# Resolution

RESOLUTION #4-1994

AUTHORIZING THE AMYOR TO SUBMIT ISSUE II APPLICATION 1994  
(SCIP) AND EXECUTE PROJECT AGREEMENT WITH THE  
OHIO PUBLIC WORKS COMMISSION

BE IT RESOLVED, by the Council of the Village of Cleves, State of Ohio,  
a majority of the members elected thereto concurring:

## SECTION I

Council directs the Mayor to submit 1994 Issue II (SCIP) Application  
to the District Public Works Integrating Committee.

## SECTION II

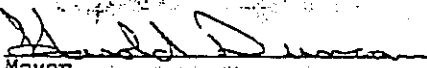
Council directs the Mayor to execute a Project Agreement with the  
Ohio Public Works Commission.

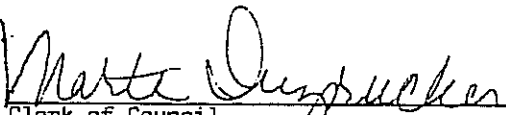
Passed this 28<sup>th</sup> day of September, 1994.

  
President of Council

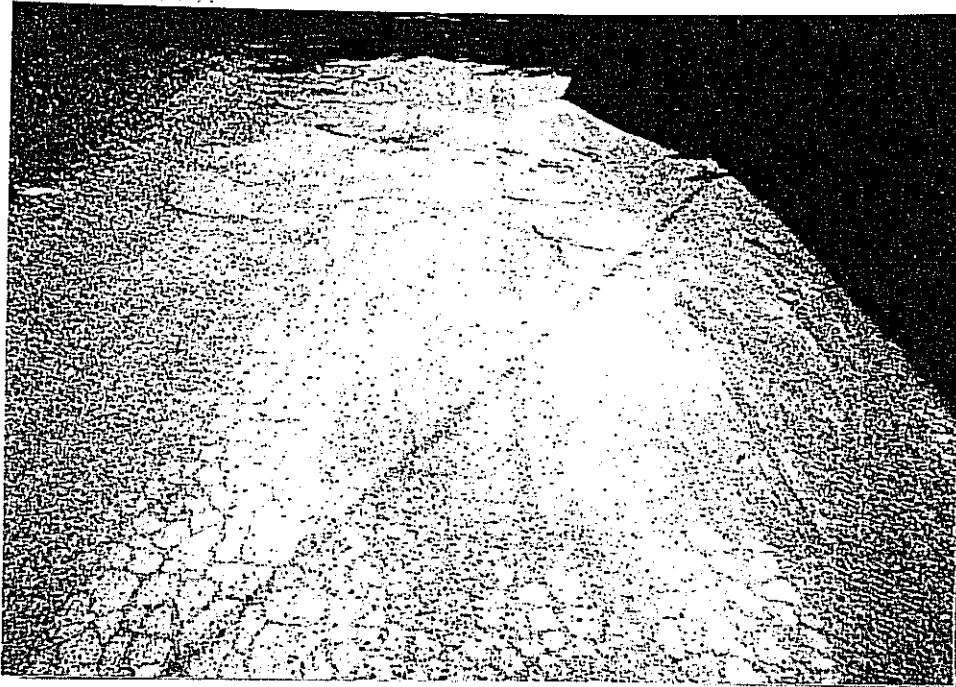
Attest:

Approved this 28<sup>th</sup> day of September, 1994

  
Mayor

  
Clerk of Council

Overall View



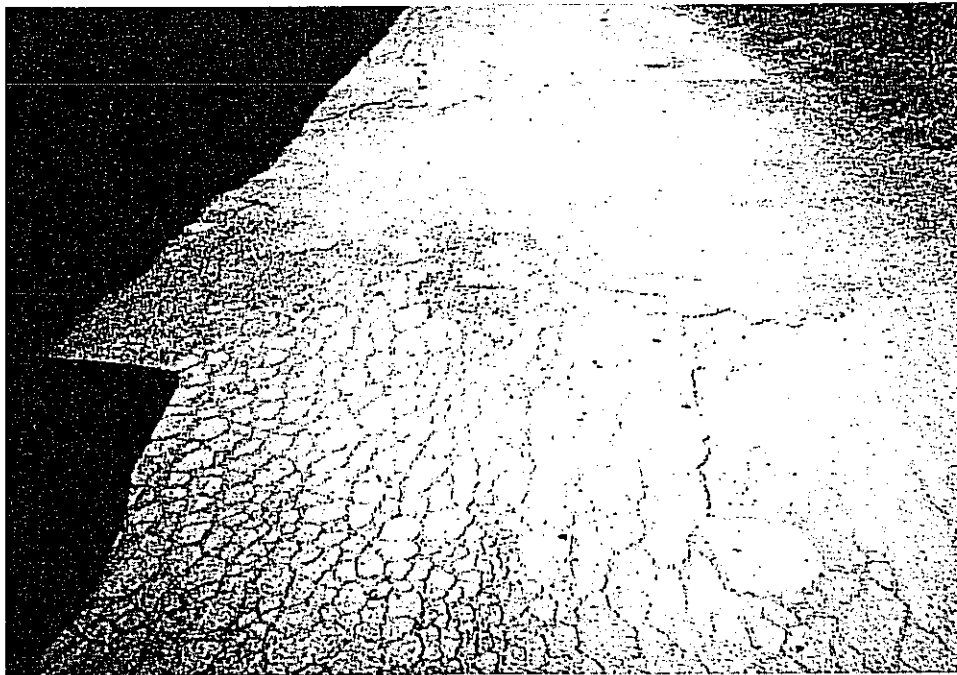
Severe Alligator Cracking,  
Patching & Pavement Scaling



Carter



Severe Alligator  
Cracking & Patching



Patching & Multiple  
Types of Cracking  
Pavement Ravelling

# ADDITIONAL SUPPORT INFORMATION

For Program Year 1995 (July 1, 1995 through June 30, 1996), jurisdictions shall provide the following support information to help determine which projects will be funded. Information on this form must be accurate, and where called for, based on sound engineering principles. Documentation to substantiate the individual items may be required by the Support Staff if information does not appear to be accurate.

- 1) What is the condition of the existing infrastructure to be replaced, repaired, or expanded? For bridges, submit a copy of the current State form BR-86.

Closed \_\_\_\_\_ Poor X

Fair \_\_\_\_\_ Good \_\_\_\_\_

Give a brief statement of the nature of the deficiency of the present facility such as: inadequate load capacity (bridge); surface type and width; number of lanes; structural condition; substandard design elements such as berm width, grades, curves, sight distances, drainage structures, or inadequate service capacity. If known, give the approximate age of the infrastructure to be replaced, repaired, or expanded.

The current facility has numerous base failures and the pavement is distressed and cracking. The riding surface is rough. Storm water frequently floods the roadway due to deteriorated drainage structures.

- 2) If State Capital Improvement Program funds are awarded, how soon (in weeks or months) after receiving the Project Agreement from OPWC (tentatively set for July 1, 1995) would the project be under contract? The Support Staff will be reviewing status reports of previous projects to help judge the accuracy of a particular jurisdiction's anticipated project schedule.

4 weeks/months (Circle one)

Are preliminary plans or engineering completed? Yes No

Are detailed construction plans completed? Yes No

Are all right-of-way and easements acquired?\* Yes No N/A

\*Please answer the following if applicable:

No. of parcels needed for project: 0 Of these, how many are Takes \_\_\_\_\_, Temporary \_\_\_\_\_, Permanent \_\_\_\_\_

On a separate sheet, explain the status of the ROW acquisition process of this project for any parcels not yet acquired.

Are all utility coordinations completed? Yes No N/A

Give an estimate of time, in weeks or months, to complete any item above not yet completed. 4 weeks months

- 3) How will the proposed project impact the general health, safety and welfare of the service area? (Typical examples may include the effects of the completed project on accident rates, emergency response time, fire protection, health hazards, user benefits, commerce, and highway capacity.) Please be specific and provide documentation if necessary to substantiate the data.

Correcting the flooding will impact the health of the community and emergency response time will be enhanced.

- 4) What type of funds are to be utilized for the local share for this project?

Federal	_____	ODOT	_____	Local	<u>X</u>
MRF	_____	OWDA	_____	CDBG	_____
Other	_____				

Note: If MRF funds are being used for the local share, the MRF application must have been filed by August 1, 1994 for this project with the Hamilton County Engineer's Office.

The minimum amount of matching funds for grant projects (local share) must be at least 10% of the TOTAL CONSTRUCTION COST. What percentage of matching funds are being committed to this project?

10 %

- 5) Has any formal action by a federal, state, or local government agency resulted in a complete or partial ban of the use or expansion of use for the involved infrastructure? (Typical examples include weight limits, truck restrictions, and moratoriums or limitations on issuance of building permits.) A copy of the approved legislation must be submitted with the application. THE BAN MUST HAVE AN ENGINEERING JUSTIFICATION TO BE VALID.

Complete Ban \_\_\_\_\_ Partial Ban \_\_\_\_\_ No Ban X

Will the ban be removed after the project is completed?

Yes \_\_\_\_\_ No \_\_\_\_\_

- 6) What is the total number of existing users that will benefit as a result of the proposed project?

ADT = 150 x 1.2 = 180 users per day.

For roads and bridges, multiply current documented Average Daily Traffic by 1.20. For public transit, submit documentation substantiating the count. Where the facility currently has any restrictions or is partially closed, use documented traffic counts prior to the restriction. For storm sewers, sanitary sewers, water lines, and other related facilities, multiply the number of households in the service area by 4.

- 7) Has the jurisdiction developed a Five Year Capital Improvement Plan as required in O.R.C., chapter 164?

Yes   X   No       

- 8) Give a brief statement concerning the regional significance of the infrastructure to be replaced, repaired, or expanded.

This project will only affect the residents of the Village of Cleves.

- 9) For expansion projects, please provide the existing and proposed Level of Service (LOS) of the facility using the methodology outlined within AASHTO'S "Geometric Design of Highways and Streets" and the 1985 Highway Capacity Manual.

Existing LOS            Proposed LOS           

If the proposed LOS is not "C" or better, explain why LOS "C" cannot be achieved. (Attach separate sheets if necessary.)

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# STATE CAPITAL IMPROVEMENT PROGRAM

## ROUND NO. 9

PROGRAM YEAR 1995 PROJECT SELECTION CRITERIA - JULY 1, 1995 TO JUNE 30, 1996

ADOPTED BY THE DISTRICT 2 INTEGRATING COMMITTEE

June 27, 1994

JURISDICTION/AGENCY: CLEVELAND

NAME OF PROJECT: CARTER ST. REHABILITATION

TOTAL POINTS FOR THIS PROJECT: 52 RATING TEAM NO. 1

NO. OF  
POINTS

- 10 1) If SCIP Funds are granted, when would the construction contract be awarded? (The Support Staff will assign points based on engineering experience.)
- 10 Points - Will be under contract by December 31, 1995
  - 5 Points - Will be under contract by March 30, 1996
  - 0 Points - Will not be under contract by March 30, 1996

- 20 2) What is the condition of the infrastructure to be replaced or repaired? For bridges, base condition on latest general appraisal and condition rating.
- 20 Points - Poor Condition
  - 16 Points - Fair to Poor Condition
  - 12 Points - Fair to Poor Condition
  - 8 Points - Fair Condition
  - 4 Points - Fair Condition
- ABSOLUTELY  
TERIBLE

NOTE: If the infrastructure is in "good" or better condition it will NOT be considered for SCIP funding.

1 3) If the project is built, what will be its effect on the facility's serviceability?

- 5 Points - Significant effect (e.g., widen to and add lanes along entire project)
- 4 Points - Moderate to significant effect
- 3 Points - Moderate effect (e.g., widen exist. lanes)
- 2 Points - Moderate to little effect
- 1 Points - Little or no effect (e.g., street or bridge deck rehabilitation)

6 4) How important is the project to HEALTH, SAFETY, AND WELFARE of the public and the citizens of the District and/or service area?

- SAFETY
- 10 Points - Highly significant importance, with substantial impact on all 3 factors
  - 8 Points - Considerably significant importance, with substantial impact on 2 factors OR noticeable impact on all 3 factors
  - 6 Points - Moderate importance, with substantial impact on 1 factor or noticeable impact on 2 factors
  - 4 Points - Minimal importance, with noticeable impact on 1 factor
  - 2 Points - No measurable impact

10 5) What is the overall economic health of the jurisdiction?

- 10 Points - Poor
- 8 Points -
- 6 Points - Fair
- 4 Points -
- 2 Points - Excellent

1 6) What matching funds are being committed to the project, expressed as a percentage of the TOTAL CONSTRUCTION COST? Loan and Credit Enhancement projects automatically receive 5 points, and no match is required. All grant funded projects require a minimum of 10% matching funds.

- 5 Points - 50% or more
- 4 Points - 40% to 49.99%
- 3 Points - 30% to 39.99%
- 2 Points - 20% to 29.99%
- 1 Point - 10% to 19.99%

0

- 7) Has any formal action by a federal, state, or local government agency resulted in a partial or complete ban of the usage or expansion of the usage for the involved infrastructure? POINTS MAY ONLY BE AWARDED IF THE END RESULT OF THE PROJECT WILL CAUSE THE BAN TO BE LIFTED.

5 Points - Complete or significant ban  
3 Points - Partial or moderate ban  
0 Points - No ban of any kind

1

- 8) What is the total number of existing daily users that will benefit as a result of the proposed project? Appropriate criteria include current traffic counts, households served, when converted to a measurement of persons. Public transit users are permitted to be counted for roads and bridges, but only when certifiable ridership figures are provided.

5 Points - 10,000 or more  
4 Points - 7,500 to 9,999  
3 Points - 5,000 to 7,499  
2 Points - 2,500 to 4,999  
1 Point - 2,499 and under

180

2

- 9) Does the infrastructure have REGIONAL impact? Consider origins and destinations of traffic, functional classification, size of service area, number of jurisdictions served, etc.

5 Points - Major impact (e.g., major multi-jurisdictional route, primary feed route to an Interstate, Federal - Aid Primary routes)  
4 Points -  
3 Points - Moderate impact (e.g., principal thoroughfares, Federal - Aid Urban routes)  
2 Points -  
1 Point - Minimal or no impact (e.g., cul-de-sacs, subdivision streets)

1

- 10) Has the jurisdiction enacted the optional \$5 license plate fee, an infrastructure levy, a user fee, or a dedicated tax for infrastructure?

2 Points - Two of the above  
1 Point - One of the above  
0 Points - None of the above

ADDENDUM TO THE RATING SYSTEM  
DEFINITIONS

CRITERION 1 - ABILITY TO PROCEED

The Support Staff will assign points based on:

- 1) Engineering experience
- 2) The information on the Additional Support Information, as verified where necessary.
- 3) The applicant's past SCIP/LTIP record of successfully projecting project schedules on similar types of projects.

If a project rating on this item is reduced by the Support Staff because of a questionable schedule, and still receives funding, the submitting jurisdiction will be permitted to amend the Project Schedule accordingly.

CRITERION 2 - CONDITION

Poor - Condition is dangerous, unsafe or unusable

Fair to Poor - Condition is inadequate or substandard

Fair - Condition is average, not good or poor

CRITERION 5 - ECONOMIC HEALTH

The following factors are used to determine economic health:

- 1) Median per capita income
- 2) Per capita assessed valuation of the total community real estate and personal property
- 3) Poverty indicators
- 4) Effective tax rates
- 5) Total corporate debt as a percentage of assessed valuation
- 6) Municipal revenues and expenditures per capita

CRITERION 9 - REGIONAL IMPACT

Major impact - Primary water or sewer main serving an entire system

Moderate impact - Waterline or storm sewer serving only part of a system

Minimal impact - Individual waterline or storm sewer not part of a system